

2009 Program Guidelines Community Arts: Multi-Year Operating Funding

GOALS

Toronto Arts Council provides funding support to outstanding artists and arts organizations that contribute to the cultural life of the City of Toronto. TAC is committed to artistic excellence, innovation, and accessibility, and supports a wide spectrum of artistic endeavour and a range of activity that makes the City of Toronto one of the leading cultural centres in Canada. Through its support, TAC cultivates a richer engagement between artists and audiences and reflects the City of Toronto through the diversity of artists, arts communities and audiences that it serves.

OBJECTIVES

The Community Arts Program provides Multi-Year Operating support to professional non-profit Toronto arts organizations engaged on an ongoing basis in community arts. Funding through this program supports both operations and programming. The City of Toronto provides the funding for this program through its *Community Partnership and Investment Program*.

Art practiced at a community level creates a powerful sense of inclusion, understanding, and the possibility of self-expression among participants. It can involve one or more art practices, such as music, dance, theatre, visual arts, and storytelling. The collaborative involvement of professional artists with community members is a necessary component.

ELIGIBILITY REQUIREMENTS

- Be non-profit.
- Have professional artistic leadership and pay appropriate professional fees to artists.
- Be located in the City of Toronto and have a significant portion of its activities occurring within the City.
- Have been in operation for at least four years.
- have a history of sustained community arts activity.
- Have a viable administrative structure.
- Have sound financial management.
- Be governed by a Board of Directors or other body responsible for the organization.
- Have stable artistic, organizational and board leadership with plans in place for any transition.
- Have sound financial management and balanced budgets over time.
- Submit verification of financial results of the last completed fiscal year:
 - For requests over \$15,000, audited financial statements are required.
 - For requests of \$15,000 or less, unaudited financial statements that include a balance sheet and a statement of income and expenses may be submitted instead.
- Have received Annual Operating funding through TAC's Community Arts program for at least the past two years (2007 and 2008).
- Receive significant support on an annual basis from other levels of government and/or the public and/or the private sector.

Applicants to the Multi-Year Operating program must speak to the Community Arts Officer prior to completing an application form to ensure that they are eligible to apply.

Organizations may receive only one grant per calendar year through TAC. Organizations that receive Multi-Year Operating funding through this program are, therefore, not eligible to apply for TAC project grants in any discipline.

VALUE OF GRANT

TAC does not fund 100% of an applicant's budget. Applicants must show a range of revenue sources, including earned, government and private sector revenues. Applicants may receive less than the full amount requested.

These grants contribute to the artistic and administrative costs associated with an organization's ongoing community arts activities.

TAC does not provide funding for capital projects (e.g. equipment, renovations, purchase of buildings), fundraising events/projects or deficit reduction.

APPLICATION PROCESS

Applicants must complete the application form and submit all requested support material. You will enter your Financial and Statistical data online at CADAC (Canadian Arts Database/Données sur les arts au Canada) www.thecadac.ca and enclose printed copies of the forms with your application. Financial statements must also be submitted to CADAC and a copy included with your application.

All the information you need to complete the application is contained in the application form. Use the checklist to ensure you have completed all sections of the application.

All application material must be printed on white, 8.5" x 11" paper, one side only. The form and written attachments must be collated in alphabetical order by section. Do not separate sections with title pages.

Applicants must submit the original plus one copy of their completed application, including the form and the written attachments. Each copy must be collated and fastened with a secure paper clip. Please do not bind or staple applications.

The application must be signed and complete when it is submitted.

Applications must be received at TAC no later than 5:00 p.m. on the application deadline (regardless of postmark date) and are accepted earlier. The deadline for Multi-Year Operating funding is March 2, 2009.

It is the applicant's responsibility to ensure the application is complete and on time. **Late or incomplete applications will not be accepted.** TAC does not accept applications submitted by e-mail or fax.

SUPPORT MATERIAL

In addition to the information requested in the application form, applicants may submit one set of promotional materials produced by their organization in relation to its last year's activities (e.g. programs, brochures, catalogues, etc.). Applicants may also submit a maximum of six additional pages of printed support materials (including press clippings).

- Audio/visual support material (e.g. videotapes, CD's, DVDs, etc.) should not be submitted. The assessment committee will not review it.
- Do not send originals. TAC is not responsible for the loss or damage of support material, however caused.
- Support materials submitted with this application will not be returned.

ASSESSMENT PROCESS

Applications are reviewed first for eligibility by TAC staff. TAC's Community Arts Committee then assesses all eligible community arts applications. The Community Arts Committee's grant recommendations are approved by the TAC board of directors.

TAC's Community Arts Committee is a volunteer committee of practising artists, other arts professionals and individuals involved in community-based arts activities in communities and neighbourhoods across the city. Members are selected on the basis of their familiarity with and specialized expertise in a broad spectrum of arts styles/practices and their direct experience in the Toronto arts community. TAC strives to ensure fair representation of gender and diverse cultural communities on its adjudication committees and juries. Community Arts organizations are invited to nominate peers interested in serving on TAC's Community Arts Committee. These nominations should be submitted in writing. Committee members serve maximum three-year terms.

Multi-Year Operating funding is recommended only at the discretion of the Community Arts Committee. First-time applicants should be aware that approval is not automatic solely on the basis of meeting the general eligibility requirements. The Community Arts Committee reserves the right to transfer applicants that do not meet the standard required to receive Multi-Year support to TAC's Annual Operating program.

ASSESSMENT CRITERIA

The Community Arts Committee evaluates all organizations applying for Multi-Year Operating funding using the following assessment criteria in the context of each applicant's stated mandate and direction, available resources and stage of development, and the geographic, cultural and artistic environments in which it works.

1. Artistic Quality

In assessing Artistic Quality, consideration is given to:

- The vitality of the organization's mandate and vision.
- The relationship of the mandate and vision to the organization's achievements, initiatives and goals.
- The levels of artistry achieved through the organization's artistic activities, which may include creation, production, presentation, performance, design, writing, exhibition, programming, etc.

2. Development of the art form

In assessing the contribution the organization makes to the development of the art form, consideration is given to:

- The level of commitment to the development of Canadian work through creation, production, presentation, exhibition and/or programming.
- The role of the organization in the development of the artistic field.

3. Role and Relevance in the Artistic Community

In assessing the organization's role and relevance in the artistic community, consideration is given to:

- The organization's relationships with artists and/or other arts organizations, which may include resource-sharing, creative collaborations, providing opportunities for networking and professional development, etc.
- The support the organization gives to artists in Toronto by providing opportunities for performance, exhibition, screenings, networking, professional development, and/or other types of support.

4. Public Impact

In assessing the organization's public impact, consideration is given to:

- The organization's ability to describe its current audience, and its level of commitment to develop an audience that reflects the community it serves.
- The organization's ability to recognize and cultivate potential new audiences and develop marketing plans to support this.
- The ways in which the organization engages its audience through outreach and audience development activities such as artists' talks, Q&As, pre- and post-performance talks, lecture-demonstrations, etc.
- The organization's ability to gain support from the wider community in the form of sponsorships, partnerships, volunteers, etc.

5. Financial and Administrative Viability

In assessing the organization's financial and administrative health and operations, consideration is given to:

- An appropriate allocation of human and financial resources to fulfill the organization's seasonal and long-term plans.
- A budget that is realistic and shows a range of earned, private and government revenue.
- A demonstration through past performance of the organization's ability to secure the resources necessary to carry out its work.
- A demonstration through past performance that the organization can respond to changes in its financial circumstances.

- If the organization has a deficit, the effectiveness of the plans that are in place to reduce the deficit and to improve and strengthen financial planning.
- How the governing body of the organization reflects the community served by the organization and is committed to the well-being of the organization.

NOTIFICATION

Applicants will be notified of results, by letter, within three months of the deadline. Results are not released over the telephone.

APPEALS

The decision on whether or not an applicant is awarded funding through the Multi-Year stream is that of the Community Arts Committee and may not be appealed. (Applicants to the Multi-Year program will be transferred to TAC's Annual Operating program if they do not meet the standard required to receive Multi-Year support.)

Multi-Year funding recipients may appeal the decision of the assessment committee if the grant recommended is lower than their 2008 TAC grant (assuming the 2009 request is equal to or greater than the 2008 grant).

In the event that TAC's grants budget is cut, the eligibility criteria for appeals may be amended without notice. Applicants eligible for appeals will be advised in the grant notification letter.

PAYMENT OF GRANTS AND REPORTING REQUIREMENTS

Successful applicants will receive a Letter of Understanding with their grant notification letter. The Letter of Understanding will detail the terms and conditions of the grant, including interim reports. The Letter of Understanding must be signed and returned to TAC.

Multi-year grants will be paid in three annual installments. Release of the first installment is contingent upon receipt of the signed Letter of Understanding and fulfillment of any conditions specified in the Letter of Understanding. Release of the second and third-year payments will be contingent on the organization fulfilling the interim reporting requirements that will be outlined in the grant notification letter.

Amounts approved for an organization may change over the multi-year period if:

- the organization does not carry out its planned program of activities.
- the organization undergoes major changes in artistic and/or administrative direction or personnel or a major disruption of activities is predicted.
- the organization fails to meet its obligations as a grant recipient, including the requirement to submit reports to TAC and comply with the acknowledgement policy.
- TAC is unable to fulfill its funding commitments due to a reduction in its grants budget.
- TAC receives an increase to its grants budget and new monies become available to the Community Arts program during the multi-year cycle.

ACKNOWLEDGEMENT OF TORONTO ARTS COUNCIL SUPPORT

Grant recipients must include visual acknowledgement of TAC support in all promotional materials, advertising and programs of performances or works related to the grant. The TAC logo may be downloaded from TAC's website; specifications for the size and placement of the logo should be commensurate with that of other donors or sponsors that have provided comparable financial support.

REPAYMENT OF GRANT

The grant must, on the request of TAC, be repaid (in whole or in part) to TAC, if the grant recipient:

- breaches any of the terms and conditions of the grant.
- knowingly provides false information in the grant application.
- uses the grant for purposes not approved by TAC.
- ceases operating.
- ceases to operate as a non-profit organization.
- winds up or dissolves.
- merges or amalgamates with any other party.
- commences or has commenced against it any proceedings in bankruptcy, or is adjudged a bankrupt.
- breaches any of the provisions of the Ontario Human Rights Code in its operations.

CITY OF TORONTO ANTI-RACISM, ACCESS AND EQUITY POLICY

The City of Toronto requires that all organizations and individuals adopt a policy of non-discrimination as a condition of receiving a grant or other support from the City.

1. All organizations are required to file a Declaration of Non-Discrimination that has been adopted by the Board of Directors/Members of Collective.
2. Organizations receiving Operating grants and that have annual operating budgets greater than \$25,000 are required to submit their Anti-Racism, Access and Equity Policy.

FURTHER INFORMATION

For further information about this program, contact the Community Arts Officer at:

Toronto Arts Council
141 Bathurst Street, Suite 101
Toronto, Ontario M5V 2R2
Telephone: 416-392-6802, extension 213

For information only

toronto|arts|council

Community Arts: Multi-Year Operating Funding

APPLICATION DEADLINE: MARCH 2, 2009

Before completing this application, please read the program guidelines.

A complete application is comprised of a number of parts, as listed below. **Some parts are designed as forms, to be filled out on your computer in Word or Excel. However, you will submit most information using attached pages.** Financial and Statistical forms must be downloaded from CADAC (www.thecadac.ca) as Excel forms and printed. *Please see CADAC INSTRUCTIONS page for more detailed information.* The list below itemizes the sections and appendices that comprise a complete application and indicate whether the information should be entered into a Microsoft Word or Excel form or formatted as attached pages.

List of Application Sections and Appendices

Format

1. **Applicant Information and Declaration**
2. **Section A: Organizational Profile**
3. **Section B: Multi-Year Planning Process**
4. **Section C: Artistic Report**
5. **Section D: Organizational Report**

use MS Word form provided
*attach **maximum 3 pages***
*attach **maximum 3 pages***
*attach **maximum 10 pages***
*attach **maximum 5 pages***

1. **Appendix 1: Artistic Programming Information**

- 1-1 Activity/Programming list Current Year
- 1-2 Activity/Programming list Request Year One
- 1-3 Arts Programming/Activities in Priority Neighbourhoods

*use MS Word form provided**
*use MS Word form provided**
use MS Word form provided

2. **Appendix 2: Organizational Information**

- 2-1 Staff list
- 2-2 Board of Directors or other governing body – list of members

attach pages as required
attach pages as required

3. **Appendix 3: Financial Information**

- 3-1 CADAC Financial Form
- 3-2 Capacity Building/Strategic multi-year grants (if applicable)
- 3-3 Unrestricted Reserves
- 3-4 Financial Statements
- 3-5 Deficit Reduction Plan (if applicable)

Excel form downloaded from CADAC
use MS Word form provided
use MS Word form provided
copy of statements uploaded to CADAC
attach pages as required (if applicable)

4. **Appendix 4: Statistical Information**

- 4-1 CADAC Statistical Form
- 4-2 Supplementary Statistical Information
- 4-3 Geographic Service Area

Excel form downloaded from CADAC
use MS Word form provided
use MS Word form provided

5. **Appendix 5: Anti-Racism, Access and Equity** (if applicable)

attach pages as required (if applicable)

6. **Appendix 6: Support Material** (optional)

attach (optional)

7. **Appendix 7: Application Checklist.**

use MS Word form provided

**You may substitute a copy of the related form from your OAC application, instead of completing the MS Word form provided.*

- Do not exceed maximum page limits, but also remember you may submit fewer pages.
- All attachments must be on plain white 8.5" x 11" paper, printed on one side only.
- Do not separate sections with title pages or paperclips.
- **Submit the original plus one copy of the application**, including the forms, written attachments and appendices, in the order listed above. Each copy must be collated and fastened with a secure paper clip. Do not bind or staple.

DO NOT INCLUDE THIS PAGE WHEN YOU SUBMIT APPLICATION.

CADAC INSTRUCTIONS

CADAC (Canadian Arts Data/Données sur les arts au Canada) is a web-based application that provides arts organizations with a single point of data entry for financial and statistical information that will be used by one or multiple public funders, including Toronto Arts Council, the Ontario Arts Council and the Canada Council for the Arts.

All organizations applying for a Toronto Arts Council operating grant in 2009 must enter their Financial and Statistical information online at CADAC (www.thecadac.ca). There are two options for entering data. You can download the financial and statistical forms as Microsoft Excel files, complete them on your desktop, print a copy to enclose with your TAC application and upload the form to CADAC. Or you can complete the forms online, download the completed forms as Microsoft Excel files and print copies to enclose with your TAC application.

Steps to follow:

1. **Register** your organization at www.thecadac.ca. (You can download the *Quick Start Guide* or view the online video tutorial for detailed instructions about how to register. Links are on the website.)
 - Registration must be approved, so register well in advance of the TAC application deadline to ensure you have time to complete the financial and statistical forms.
 - When your registration is approved you will receive your organization **CADAC ID**. You are required to submit your CADAC ID with your TAC application form. Your application will not be accepted without a CADAC ID.
2. **Login** to your organization's account at www.thecadac.ca.
3. (a) **Download** the Financial form and Statistical form from CADAC if you want to complete the forms on your desktop. (When selecting the form year, choose the current fiscal year (2008/09) from the drop-down list in order to download a form with Request Year 2009/10.)

Upload the Financial form and Statistical form to CADAC when you have completed the forms. (Note, only forms downloaded from CADAC can be uploaded to CADAC.)

Submit the forms to complete the process. (The uploaded form has been saved as a *Draft* version only.) Go to the *Financial* or *Statistical Form Edit* page and click **Submit** to submit the form.

OR

(b) **Enter** the Financial and Statistical data directly online. Click **Save** to save as a *Draft* version only. This allows you to return later to complete it. Click **Submit** to submit the form when the data entry is finished in order to complete the process.
4. **Attach** signed financial statements. When signed financial statements are available for a financial year that has been *Submitted*, you will scan the signed statements in a format such as Acrobat PDF that can be uploaded to CADAC. For step-by-step instructions, see *Add an Attachment* under *Forms* in the **Help** section of CADAC. When the financial statements are uploaded, the CADAC FDC will reconcile the submitted form with the statements. If there are discrepancies, the FDC will request an explanation.

Tips for first-time CADAC users:

- The CADAC system will **timeout** after 60 minutes of "inactivity". Data entry does not register as activity. If you are entering data online, please click **Save** frequently to ensure you don't lose data.
- When you login to your organization's account, review the legend of icons on the *Forms* page and also see *Buttons and Icons* under *Forms* in the **Help** section of CADAC. Here you will find an explanation of *form status*, who can view your data and when you are able to edit your data, which will give you a better understanding of the overall CADAC process.
- When you click **Save**, data is saved as a *Draft* that can be viewed only by your organization. You must click **Submit** to submit your form in order to complete the process. Forms that have been submitted can be viewed by the CADAC Financial Data Controller (FDC) and by funding agencies that have your CADAC ID.
- **Help Desk** - In addition to online help, which includes video tutorials, CADAC staff are available to assist you Monday to Friday during business hours via a toll-free number (1-866-249-0296) or email (CADACinfo@thecadac.ca). Please note, the Help desk will close at noon on December 24th and will re-open at 9:00 am on January 5th.

DO NOT INCLUDE THIS PAGE WHEN YOU SUBMIT APPLICATION.

Tips for using Microsoft (MS) Word Forms

The document is locked, so you can enter information only in the text boxes and check boxes provided.

The text boxes and check boxes appear shaded when you view the application on a computer screen, but the shading does not appear when you print the document.

You can tab from one text box to another, even if the text boxes are several pages apart.

Some of the forms included in the application are formatted as tables.

- In cases where you have to enter information (e.g. names) in a column, press “enter” on your keyboard between names to create a list.
- If you are entering information in a row rather than a column, you should use a comma to separate names.

We have tried to anticipate the maximum number of pages you will require for your Activity Lists. Use only as many pages as you need. As you enter information in a text box, it extends the table, sometimes beyond the page end. This may result in extra pages when you print. Do not submit empty pages with your completed application. Submit only tables on which you have entered information.

DO NOT INCLUDE THIS PAGE WHEN YOU SUBMIT APPLICATION.

For information only

**Toronto Arts Council: Application Form
COMMUNITY ARTS: MULTI-YEAR OPERATING FUNDING**

APPLICANT INFORMATION

Organization Name (cheque will be payable to this name, should application be successful)

Mailing Address

Suite / Apt / Floor Number and street name Postal Code *(Postal code must start with M)*

Telephone Fax (dedicated line only)
() ()

E-mail Website

CADAC ID

Who should we contact if there are questions concerning this application?

First Name Last Name Title

Telephone Email
()

Grant Amount Requested: \$ *(Should correspond with Financial Form)*

Previous (2007) TAC Grant Amount: \$ Fiscal Year end: Month/date

LEGAL STATUS OF ORGANIZATION:

Incorporated not-for-profit Charitable status Other
Incorporation date: Describe:

DECLARATION

On behalf of and with the authority of the organization named above, in signing this application we certify that:

- we have read and agree to all the regulations, terms and conditions outlined in the Program Guidelines;
- to the best of our knowledge, the information provided herein is accurate and complete; and
- if a grant is awarded, we accept responsibility for ensuring that the activities for which funding is received are completed in the manner described in the application.

Administrative Head - Title:

Name: Signature: _____
Telephone Number: ()

Artistic Head - Title:

Name: Signature: _____
Telephone Number: ()

Head of Board of Directors or other governing body:

Title: Signature: _____
Name: _____
Telephone Number: ()

SECTION A: ORGANIZATIONAL PROFILE (maximum 3 pages)**A1: Organizational Mandate / Mission:**

Provide your organization's artistic mandate and/or mission.

A2: Brief History

Give a brief history of your organization. Include the year it was established, important milestones and the key events, including changes in artistic/executive direction, which provide an understanding of its development and a context for its current activities.

A3: Current Leadership

Describe the qualifications of your artistic leader(s) and their experience with your organization and in the field. Describe the qualifications of your administrative leader(s) and their experience with your organization and in the field.

A4: Current Artistic Vision

Describe your artistic vision. Who participates in shaping the vision? What is the connection between the vision and your artistic programming?

A5: Long-term Artistic and Organizational Goals

What are your longer-term artistic, organizational and financial plans? Please indicate the number of years into the future you are planning.

A6: Buildings/Facilities (if applicable)

Describe your building or facility (e.g. size, nature, seating capacity, etc.). Describe the role your facility plays in your community, including any policies for making these resources accessible to the arts community.

SECTION B: MULTI-YEAR PLANNING PROCESS (maximum 3 pages)**B1. 3-Year Plan Summary**

For TAC, the multi-year planning process may simply be the process you've gone through to prepare this application or you may have engaged in a formal planning process for a strategic plan or business plan. In either case, the following summary is required. Do not submit a copy of the plan.

Summarize your plan using point form and the following structure:

- How did you develop your plans? Who was involved?
- What are your organization's strengths and limitations?
- What is your environment? (*i.e. What external opportunities or challenges were taken into consideration when planning?*)
- Summarize the changes you are planning during the three years. (*Details will be provided in Section D.*)
- Where will the human, financial and/or physical resources come from to make the above changes? (*This can include additional resources or reallocation of existing resources.*)
- How will you monitor and evaluate results?

SECTION C: ARTISTIC REPORT

For service organizations, please substitute your area of activity for "artistic" and substitute your membership and/or community constituency for "audience".

Summary Statements (maximum 2 pages)

C1 to C4 are summary statements that provide context for your Artistic Programming and Activities. The questions are intended to guide you in describing the range of activities encompassed by your programming and its impact.

C1. Contribution to the Art Form and Artistic Field

- How does your artistic programming contribute to the art form and the artistic field? What makes your work distinct in the field?
- What is your role in the arts community in Toronto and/or at a regional, provincial, national or international level?
- What relationships do you have with artists and other arts organizations? (*Consider resource-sharing, creative collaborations, networking, etc.*)

C2. Contribution to the Development of Artists

- How does your organization contribute to the development, presentation and promotion of Torontonians, Ontarians or Canadian artists? (*Consider the opportunities you provide for performance, screenings and exhibitions, as well as workshops, master classes, residencies, access to facilities, etc.*)

C3. Contribution to the Audience/Program Participants

- Who takes part in your artistic programs, activities, events or uses your services? How does this audience reflect your community?

- How does your artistic programming engage and challenge your audience/program participants?
- How do your outreach, arts appreciation and audience development activities deepen your audience's or program participants' experiences and build new audiences for your work?

C4. Contribution to the Public

- What are your relationships to the broader public, beyond your current audience?

Programming Overview (maximum 8 pages)

C5. Last Completed Year (2007/08 or 2008)

- Provide a brief outline of programming for your last completed year.
- Did your programming plans for the year transpire as expected? Highlight the significant challenges, achievements and changes.

C6. Current Year (2008/09 or 2009)

- Are your programming plans for the year transpiring as expected? Highlight the significant challenges, achievements and changes. *(A complete list of activities is required in Appendix 1-1.)*
- What decisions did you make about managing the resources (people, time, space, money, etc.) to deliver your programming?
- What did you learn from your current programming choices that is affecting your plans for the next three years?

C7. Next Three Years (2009/10 to 2011/12 or 2010-2012)

- Describe your artistic programming plans for **Request Year One**. *(A complete list of activities is required in Appendix 1-2.)*
- What are your artistic plans for **Request Years Two and Three**? Provide a general outline of these plans to the degree that they are known. It is not necessary to provide a detailed activity plan at this time for these two years.

SECTION D: ORGANIZATIONAL REPORT (maximum 5 pages)

For service organizations, please substitute your membership and/or community constituency for "audience".

D1. Organizational Activities: Last Completed Year (2007/08 or 2008)

Provide a brief outline of organizational activities that supported programming, human resources, marketing and audience development, and facilities/equipment for your last completed year.

Did your organizational plans for the year transpire as expected? Highlight the significant challenges, achievements and changes.

D2. Organizational Activities: Current Year (2008/09 or 2009)

Are your organizational plans for the year transpiring as expected? Highlight the significant challenges, achievements and changes

Are your financial plans for the year transpiring as expected? Highlight the significant challenges, achievements and changes.

D3. Organizational Activities: Next Three Years (2009/10 to 2011/12 or 2010-2012)

Describe your financial and organizational plans to support programming, human resources, marketing and audience development, and facilities/equipment for **Request Year One**.

ii) What are your financial and organizational plans for **Request Years Two and Three**? Provide a general outline of these plans to the degree that they are known. It is not necessary to provide a detailed plan at this time for these two years.

D4: TAC - Request for Increase:

If you are requesting an increase to your TAC grant, explain what changes the increased funds would allow you to make to your programming, services and/or operations. If you do not receive your full request, what would your priorities be?

D5. Governance

Describe your governance structure, including answers to the following questions.

- How does your Board of Directors or other body responsible for the organization reflect your community?
- How is your Board or other body responsible for the organization involved in supporting your organization? (e.g. fundraising, strategic planning, *pro bono* professional services, etc.)

D6. Organizational Development Initiatives (if applicable)

If you are receiving funding for any capacity building or strategic initiatives to assist your organizational development, please provide a brief description of the initiative and complete Appendix 3-3.

APPENDIX 1: ARTISTIC PROGRAMMING INFORMATION

1-1. Activity/Programming List for Current Year (2008/09 or 2009)

Using a list format, in chronological order provide information about the programming activities of your organization for the Current Year, including dates, title, type of activity, art discipline(s) involved, names of artists involved, etc. **OR** submit the Current Year Activity List from your Ontario Arts Council application.

1-2. Activity/Programming List for Request Year One (2009/10 or 2010)

Using a list format, in chronological order provide information about the programming activities of your organization for Request Year One, including dates, title, type of activity, art discipline(s) involved, names of artists invited, etc. **OR** submit the Request Year One Activity List from your Ontario Arts Council application.

1-3. Arts Programming/Activities in Priority Neighbourhoods

Complete the attached form.

APPENDIX 2: ORGANIZATIONAL INFORMATION

2-1. Staff List

Attach a list of your artistic, technical and administrative staff, indicating job titles and whether they are full-time (FT) or part-time (PT).

2.2. Board of Directors or other governing body - List of members

Attach a list of members of your Board of Directors or other governing body, including addresses and the date each member joined.

APPENDIX 3: FINANCIAL INFORMATION

3-1. CADAC Financial Form:

Submit your financial data to CADAC (Canadian Arts Database/Données sur les arts au Canada) and include a printed copy of the form with your application. (See *CADAC INSTRUCTIONS* page for more information.)

3-2. Capacity Building / Strategic multi-year project grants: (If applicable)

If you are receiving capacity building/strategic multi-year project grants (e.g. Metcalfe Foundation, Ontario Trillium Foundation, Department of Canadian Heritage, Canada Council for the Arts, etc.), complete the attached table.

3-3. Unrestricted Reserves:

- Complete the attached **Statement of Unrestricted Reserves** form.
- If the Board policy governing the use of these reserves has changed since last year or was not submitted last year, attach a copy of the policy.
- If there is no Board policy and the reserve exceeds 25% of total annual operating expenditures, you must include a statement explaining what you intend to do with the reserve.
- If you have an affiliated organization from which your organization receives income, describe the relationship between the two organizations and attach a copy of the most recent audited financial statements for this affiliated organization.

3-4. Financial Statements for the last complete fiscal year:

- For requests over \$15,000, audited financial statements are required.
- For requests of \$15,000 or less, financial statements that include a balance sheet and a statement of income and expenses are required.

You must submit your signed financial statements to CADAC and include a copy with your application. (See *CADAC INSTRUCTIONS* page for more information.)

3-5. Deficit Reduction Plan (if applicable):

If you have an accumulated deficit of more than 10% of budget, or an unrestricted net asset deficiency greater than 25% of budget, you must include a realistic deficit reduction plan that includes targets, timelines and responsibilities.

APPENDIX 4: STATISTICAL INFORMATION

In addition to providing information for the assessment, Toronto Arts Council uses statistical information collected through grant applications for its reports to the City of Toronto and for advocacy on behalf of the arts in Toronto.

4-1. CADAC Statistical Report.

Submit your statistical data to CADAC (Canadian Arts Database/Données sur les arts au Canada) and include a printed copy of the form with your application. (See *CADAC INSTRUCTIONS* page for more information.)

4-2. Complete the enclosed “Supplementary Statistical Information” form.

4-3. Complete the enclosed “Geographic Service Area” form.

APPENDIX 5: ANTI-RACISM, ACCESS AND EQUITY

The City of Toronto recognizes that barriers exist for many members of the City's diverse communities, particularly for equity-seeking groups such as: women, people with disabilities, ethno-cultural and racial minorities, immigrants and refugees, faith groups, the poor, Aboriginal peoples, lesbian, gay, bisexual and transgender people.

The City requires funded organizations to adopt a Declaration of Non-Discrimination and to have an Anti-Racism, Access and Equity Policy. A copy of your organization's policy was previously submitted to TAC.

5-1. If you updated your Anti-Racism, Access and Equity policy in the past year, please attach a copy.

APPENDIX 6: SUPPORT MATERIAL - Optional

- Applicants may submit one set of promotional materials produced by their organization/collective in relation to its last year's activities (e.g. programs, brochures, catalogues, etc.). **Only one copy is required.**
- Applicants may submit a maximum of six pages of printed support materials (e.g. press clippings,) on 8.5" x 11" paper, one-side only. **Two copies are required.**

Support material submitted with this application will not be returned. Do not send originals; TAC is not responsible for the loss or damage of support material, however caused.

APPENDIX 7: APPLICATION CHECKLIST

When you have completed your application, use the checklist to confirm that you have included all forms, attachments and appendices. Include one copy of the checklist with your application.

PROGRAMMING/ACTIVITIES IN PRIORITY NEIGHBOURHOODS – Information Sheet

Toronto City Council has adopted the Toronto Strong Neighbourhoods Strategy to strengthen priority neighbourhoods through targeted investment. The strategy encompasses the learnings of the Community Safety Plan as well as the directions proposed by the Strong Neighbourhoods Task Force, a joint project of the United Way of Greater Toronto and the City of Toronto with the support of the Government of Canada and the Province of Ontario.

The City of Toronto has placed increasing emphasis on identifying vulnerable neighbourhoods and targeting resources to improve outcomes for their residents. The strategy for strengthening neighbourhoods takes place within a context in which some areas of the city are at greater risk of negative outcomes than others. Persistently low incomes and a widening income gap between the rich and the poor in many communities threatens the social cohesiveness that has marked the success of the city. Some neighbourhoods have experienced increasing levels of gun violence and criminal gang involvement resulting in city-wide concerns about community safety. An unequal distribution of services and facilities has left some neighbourhoods less well-equipped to deal with the social challenges they face.

The following 13 neighbourhoods have been identified as having priority for increased City investment:

- Crescent Town
- Dorset Park
- Eglinton East-Kennedy Park
- Flemingdon Park-Victoria Village
- Jamestown
- Jane-Finch
- Kingston-Galloway
- Lawrence Heights
- Malvern
- Scarborough Village
- Steeles-L'Amoreaux
- Westminster-Branson
- Weston-Mt. Dennis

A map of the priority neighbourhoods is available on TAC's website:

http://www.torontoartscouncil.org/downloads/PDFs/City_of_Toronto_Priority_Neighbourhoods_map.pdf

Toronto Arts Council supports the City's efforts to strengthen neighbourhoods through its funding of arts activities taking place in these priority neighbourhoods.

Providing the information requested on the following page will allow this to be factored into the assessment of your application, but there is no additional targeted funding available for activity in priority neighbourhoods.

DO NOT INCLUDE THIS PAGE IN YOUR APPLICATION.

APPENDIX 1-3: ARTS PROGRAMMING/ACTIVITIES IN PRIORITY NEIGHBOURHOODS

Will your organization program activities in any of the designated priority neighbourhoods in Toronto in 2009/10 or 2010?

Yes No

If yes, complete the following:

LOCATION

Please check neighbourhood(s) where programming/activities will take place:

- Crescent Town
- Dorset Park
- Eglinton East-Kennedy Park
- Flemingdon Park-Victoria Village
- Jamestown
- Jane-Finch
- Kingston-Galloway
- Lawrence Heights
- Malvern
- Scarborough Village
- Steeles-L'Amoreaux
- Westminster-Branson
- Weston-Mt. Dennis

SUMMARY DESCRIPTION OF TYPE OF PROGRAMMING/ACTIVITY

Please provide a brief summary (maximum one paragraph) of the type of programming/activities planned for this neighbourhood.

APPENDIX 3-2: CAPACITY BUILDING / STRATEGIC MULTI-YEAR PROJECT GRANTS

If you are receiving or applying for any capacity building/strategic multi-year project grants (e.g. Metcalfe Foundation, Ontario Trillium Foundation, Department of Canadian Heritage, Canada Council for the Arts, etc.) complete the following table. Check box if funding has been approved or received.

Funder and Program name	Last Year Actuals \$	Current Year \$	Approved?	Request Year One \$	Approved?	Request Year Two \$	Request Year Three \$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input checked="" type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$
	\$	\$	<input type="checkbox"/>	\$	<input type="checkbox"/>	\$	\$

For information only

UNRESTRICTED RESERVES, SURPLUSES AND DEFICITS – Information Sheet

Reserves

“Unrestricted” reserves are funds that have not been restricted by the donor and whose use is therefore at the discretion of the Board of Directors of the organization, or one of its affiliated organizations (such as a trust fund, property corporation or foundation). Unrestricted reserves may be referred to as a “fund”, a “reserve”, a “surplus”, an “accumulated surplus” or by another name in your audited financial statements.

“Restricted” reserves are funds designated for a specific purpose by the donor, and over which the Board of the organization and its affiliate have no discretion.

Toronto Arts Council recognizes that organizations should have a reasonable level of unrestricted reserve funds to meet needs such as:

- maintaining positive cash flow
- offsetting operating deficits
- establishing new or expanded programs
- repairing and renewing fixed assets

The size of an organization’s unrestricted reserve will be considered by TAC during the assessment process. Organizations are required to submit copies of existing Board policies related to unrestricted reserves. Where there is no Board policy and the reserve exceeds 25% of total annual operating expenditures, organizations must submit a statement explaining what they intend to do with the reserve. Any organization with unrestricted reserves greater than 25% of total annual operating expenditures may also be required to submit additional information to establish the reasonableness of the size of the reserves. Similarly, organizations with unrestricted reserves of less than one month’s operating expenses (approximately 8% of annual expenditures) may be required to submit additional information to confirm their ongoing financial viability.

Operating Surplus

An “operating surplus” is the revenue received by an organization in excess of the organization’s expenditures in any one fiscal year. *(If an operating surplus is carried to the next year, it falls into the category of “accumulated surplus” or “unrestricted reserve.”)*

An organization is required to explain the planned use of any operating surplus. When an organization’s operating surplus exceeds its total revenue from non-grant sources (i.e. earned revenue and private sector revenue), the organization will be required to document the source of the operating surplus.

Deficit

The size of an organization’s deficit or accumulated deficit will be considered by TAC during the assessment process. TAC considers that a deficit or accumulated deficit greater than 10% of an organization’s total annual revenues may be an indication of financial instability.

An organization is required to provide an explanation of a deficit in its current or projected year. Organizations with an accumulated deficit greater than 10% of budget or an unrestricted net asset deficiency greater than 25% of budget must submit a realistic deficit reduction plan that includes targets, timelines and responsibilities.

DO NOT INCLUDE THIS PAGE IN YOUR APPLICATION.

APPENDIX 3-3: STATEMENT OF UNRESTRICTED RESERVES

Unrestricted reserves are those funds that have not been restricted by a donor and whose use is therefore at the discretion of the Board of Directors of the organization, or one of its affiliated organizations (such as a trust fund, property corporation or foundation). Unrestricted reserves may be referred to as a "fund", a "reserve", a "surplus" or by another name in your audited financial statements.

1. Do you have unrestricted reserves? YES NO

If yes, use the table below to provide details of the unrestricted reserves identified in your most recent audited financial statement. For each reserve, indicate whether there is a Board policy governing the use of the reserve. If the policy was submitted last year and has not changed, you do not have to re-submit. However, if the policy has changed or was not submitted last year, you must attach a copy of the Board policy. If there is no Board policy and the reserve exceeds 25% of total annual operating expenditures, you must include a statement explaining what you intend to do with the reserve.

NAME OF UNRESTRICTED RESERVE	Balance (\$) Audit 2006/07	Balance (\$) Audit 2007/08	Board Policy?		
			Yes attached	Yes + unchanged Previously submitted	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
TOTAL UNRESTRICTED RESERVES (\$)					

2. Do you have an affiliated organization (such as a trust fund, property corporation or foundation) from which your organization receives income?

YES NO

If yes, give the name of this affiliated organization:

3. If you answered yes to the above question 2:
- Attach a copy of the most recent audited financial statement for this affiliated organization.
 - Describe the relationship of this affiliated organization to your organization:

APPENDIX 4-2: SUPPLEMENTARY STATISTICAL INFORMATION

Organization Name:

1. Free and/or reduced admission activities: Attendance

Does your organization offer free activities? Yes No

Does your organization offer reduced admission activities (e.g. "pay what you can")? Yes No

If you answered yes to either of the above questions, please provide **attendance figures** below:

ATTENDANCE FIGURES: Free or Reduced Admission events	Last year 2007/08	Current Year Projected Actual 2008/09	Request Year One Projected 2009/10
Total Attendance at all Free events			
Total Attendance at all Reduced admission events			

2. Programs for Children, Youth and Seniors: Attendance

Definitions: Children – ages 1-15; Youth – ages 16-24; Seniors – ages 65+

Does your organization have programming that is specifically directed at **children**? Yes No

Does your organization have programming that is specifically directed at **youth**? Yes No

Does your organization have programming that is specifically directed at **seniors**? Yes No

If you answered Yes to any of the above questions, please provide **attendance/participation figures** below:

ATTENDANCE/PARTICIPATION: Target Audience programming	Last year 2007/08	Current Year Projected Actual 2008/09	Request Year One Projected 2009/10
Total Attendance at all Children's programming events			
Total Attendance at all Youth programming events			
Total Attendance at all Seniors programming events			

3. Outreach/Audience Development Activities/Programs: Attendance

Definition: Activities/programs designed to increase public appreciation, knowledge and participation in the arts.

Does your organization have any Outreach/Audience development activities or programs? Yes No

If you answered Yes to the above question, please provide the **attendance/participation figures** attributable to these activities/programs.

OUTREACH/AUDIENCE DEVELOPMENT ATTENDANCE	Last year 2007/08	Current Year Projected Actual 2008/09	Request Year One Projected 2009/10
Total Attendance at all Outreach/Audience Dev. events			

APPENDIX 4-3: GEOGRAPHIC SERVICE AREA: LOCATION OF ACTIVITIES + TARGET AUDIENCE/PARTICIPANTS

Toronto Arts Council requires the following information in order to meet City of Toronto reporting requirements. These questions are not related to assessment criteria. All applicants must complete this form.

1. **What geographic area(s) is/are served by your activities?** (i.e. Are your programs specifically aimed at those who live within one or more specific geographic neighbourhoods or are they aimed at the entire City?)

Please check one:

- Our programs/activities serve the entire City of Toronto.
- Our programs/activities serve one or more specific geographic neighbourhood.

2. If you checked “one or more geographic neighbourhood” above, you must specify the Ward numbers that define the area served by your activities. (A City of Toronto ward map in PDF format is available if you require assistance in identifying wards: www.torontoartscouncil.org/downloads.htm)

Ward number(s):

3. **Complete the following table to indicate the location of your activities:**

	Venue Name and Address	Postal Code
Venues where your activities take place (e.g. theatres, galleries, community centres, etc.)	1.	
	2.	
	3.	
	4.	
	5.	
	6.	
	7.	
	8.	

APPENDIX 7: APPLICATION CHECKLIST

- Applicant Information**, completed and signed.
 - A minimum of two signatures is required. One signature must be head of Board or other governing body.
- Section A: Organizational Profile** – maximum 3 pages
- Section B: Multi-Year Planning Process** – maximum 3 pages
- Section C: Artistic Report** – maximum 10 pages
- Section D: Organizational Report** – maximum 5 pages

Appendix 1: Artistic Programming Information

- 1-1 Activity List – Current Year** (*OAC Activity list may be submitted instead of TAC form*)
- 1-2 Activity List – Request Year One** (*OAC Activity list may be submitted instead of TAC form*)
- 1-3 Activities in Priority Neighbourhoods**

Appendix 2: Organizational Information

- 2-1 Staff list**
- 2-2 Board of Directors** (or other governing body) – **list of members**

Appendix 3: Financial Information

- 3-1 CADAC Financial Form**
- 3-2 Capacity Building / Strategic multi-year project grants** (*IF APPLICABLE*)
- 3-3 Unrestricted Reserves**

3-4 Financial Statements (check one only)

- Financial statements have been uploaded to CADAC and a copy is attached. Must be signed by two Board members. (Audited statements required for requests over \$15,000.)
- Financial statements are not yet completed. (Audited statements required for requests over \$15,000.) Will be uploaded to CADAC and submitted to TAC by

Only one copy of the Financial Statements is required. Attach it to the original copy of the application.

3-5 Deficit Reduction Plan (*IF APPLICABLE*)

- required if accumulated deficit >10% of budget or unrestricted reserve net asset deficiency > 25% of budget

Appendix 4: Statistical Information

- 4-1 CADAC Statistical Form**
- 4-2 Supplementary Statistical Information**
- 4-3 Geographic Service Area**

Appendix 5: Anti-racism, Access & Equity (*IF APPLICABLE*)

- Revised / updated copy of Anti-Racism, Access and Equity policy is included.

Appendix 6: Support Material (OPTIONAL)

- one set of promotional materials (e.g. brochures)
- two sets of additional printed materials (e.g. press clippings) maximum 6 pages – one-side only.

Appendix 7: Application checklist

Only one copy of the application checklist is required. Attach it to the original copy of the application.

DO NOT INCLUDE PROGRAM GUIDELINES WHEN YOU SUBMIT YOUR APPLICATION.